

Estimating Probable Maximum Precipitation by Considering Combined Effect of Typhoon and Southwesterly Air Flow

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ABSTRACT

Typhoon Morakot hit southern Taiwan in 2009, bringing 48-hr of heavy rainfall [close to the Probable Maximum Precipitation (PMP)] to the Tsengwen Reservoir catchment. This extreme rainfall event resulted from the combined (co-movement) effect of two climate systems (i.e., typhoon and southwesterly air flow). Based on the traditional PMP estimation method (i.e., the storm transposition method, STM), two PMP estimation approaches, i.e., Amplification Index (AI) and Independent System (IS) approaches, which consider the combined effect are proposed in this work. The AI approach assumes that the southwesterly air flow precipitation in a typhoon event could reach its maximum value. The IS approach assumes that the typhoon and southwesterly air flow are independent weather systems. Based on these assumptions, calculation procedures for the two approaches were constructed for a case study on the Tsengwen Reservoir catchment. The results show that the PMP estimates for 6- to 60-hr durations using the two approaches are approximately 30% larger than the PMP estimates using the traditional STM without considering the combined effect. This work is a pioneer PMP estimation method that considers the combined effect of a typhoon and southwesterly air flow. Further studies on this issue are essential and encouraged.

Key words: Probable Maximum Precipitation, Southwesterly air flow, Typhoon, Combined effect, Storm transposition method

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1. INTRODUCTION

Probable Maximum Precipitation (PMP) is an important rainfall-runoff model input for estimating Probable Maximum Flood (PMF) which is the key design criterion for reservoir hydraulic features. The World Meteorological Organization (WMO) defines PMP as the greatest depth of precipitation for a given duration meteorologically possible for a given size storm area at a particular location at a particular time of the year, with no allowance made for long-term climatic trends (WMO 1986).

Typhoon Morakot caused great damage to Taiwan in 2009 (Li et al. 2014). Besides the inestimable loss of facilities and properties, 675 people died, 54 people were injured or missing, 1626 houses collapsed and the product losses amounted to 6.07 billion US dollars (Hsieh et al. 2010). From the lessons learned from Typhoon Morakot, flood prevention strategies have been adjusted (Hsieh et al. 2010)

and flood warning improvements proposed (Yu et al. 2014a, b). Many studies have investigated and discussed why Typhoon Morakot brought such extreme rainfall (Chien and Kuo 2011; Van Ngueyan and Chen 2011; Fang et al. 2011; Lee et al. 2011; Tao et al. 2011; Wu et al. 2011; Yen et al. 2011). These studies concluded that the combined effect of the typhoon and southwesterly air flow, and the orographic effect in southwestern Taiwan were the two key factors causing such extreme rainfall. The combined effect of the typhoon and southwesterly air flow enhanced the moisture supplement from the southwesterly air flow to the typhoon. The orographic effect means that the local rainfall is strongly affected by the island mountains when typhoons pass over the island of Taiwan and its vicinity (Lin et al. 2011). The topography of Taiwan is shown in Fig. 1 with more than two hundred peaks over 3000 m in height. Heavy rainfall resulting from the orographic effect on the typhoon circulation is truly a serious threat to Taiwan (Fang et al. 2011). During Typhoon Morakot, the tremendous rainfall

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concentrated in the mountain areas of Chiayi County and Kaohsiung City, located in southern Taiwan where fifteen rainfall stations gauged maximum 72-hr rainfalls larger than 2000 mm. Among these stations, Alishan meteorological station had a total rainfall of 2884 mm and a 48-hr rainfall of 2361 mm which broke the highest record in Taiwan. Rainfall of longer duration greater than 24 hr are close to the world records, as shown in Fig. 2. During Typhoon Morakot, the observed 24-hr rainfall in the Renyitan Reservoir catchment (1191 mm) was greater than the 24-hr PMP for

the Renyitan Reservoir (1086 mm) and the observed 24-hr rainfall in the Nanhua Reservoir catchment (1201 mm) approaching the 24-hr PMP of Nanhua Reservoir (1474 mm). Therefore, the PMP estimation for each reservoir should be reevaluated by considering the combined effect of typhoon and southwesterly air flow (under the similar scenario to Typhoon Morakot) to ensure the safety of each reservoir after Typhoon Morakot, especially for the most important reservoir in Taiwan.

Many methods have been proposed for PMP estimation.

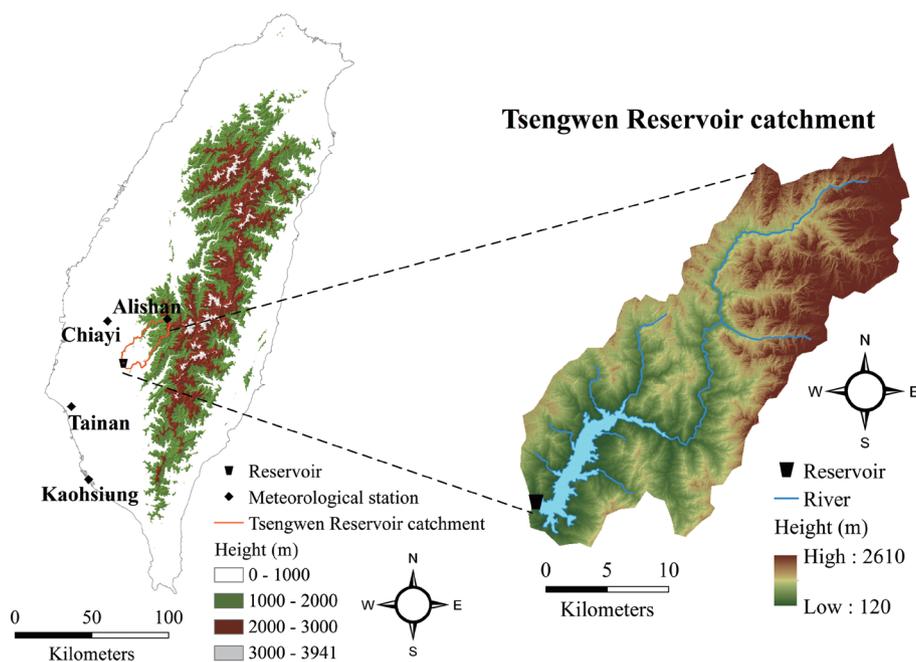


Fig. 1. Study area and locations of meteorological stations. (Color online only)

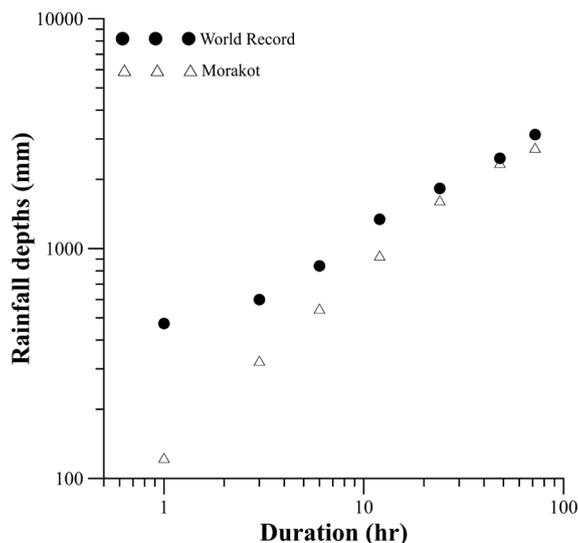


Fig. 2. Rainfall depths of world record and Typhoon Morakot (2009). The world record data were obtained from the ANNEX II of Manual on Estimation of Probable Maximum Precipitation (PMP) (WMO 2009).

The concept of each method can be categorized simply as either statistical methods or hydrometeorological methods (Rakhecha and Kennedy 1985; Abbs 1999; Douglas and Barros 2003; Chen and Bradley 2007; Fernando and Wickramasuriya 2011; Casas et al. 2011). PMP estimation method using numerical weather models have also been developed in recent years (Tan 2010; Yigzaw et al. 2013; Ishida et al. 2015). For the multifarious methods, the hydrometeorological methods is a practical one and worldwide used for PMP estimation (Kulkarni et al. 2010). It is time to embrace a hydrometeorological approach as the guideline for dam design and operations for the 21st century (Hossain et al. 2010). The storm transposition method (STM) is one of the hydrometeorological methods used to calculate PMP estimation in Taiwan. In STM, an extraordinarily large storm in the adjacent area is transposed to the design area or a specific location. This is accomplished in four major steps: selecting a control storm, transposing it to the project site, maximizing it and enveloping it. The control storm is selected from the most severe, historic, tropical storms (Fan 1992). This approach increases the sample size of historical storms that could be used for PMP estimation (Micovic et al. 2015). Details of STM can be found in the manual of WMO (2009). STM is adopted in this work to construct the PMP estimation method considering the combined effect of typhoon and southwesterly air flow due to STM is the conventional meteorological method for PMP estimation in Taiwan and the combined effect of typhoon and southwesterly air flow is not considered in the procedure of STM.

For the aforementioned reasons: (1) the combined effect of typhoon and southwesterly air flow, and the terrain effect are the key factors leading to tremendous rainfall in Taiwan; (2) the observed 24-hr rainfalls are found to exceed or approach the PMP estimates using the traditional STM in some reservoir catchments during Typhoon Morakot; and (3) the traditional STM does not consider the combined effect of typhoon and southwesterly air flow, it is important to consider the combined effect in the PMP estimation procedure, which greatly motivates this work to propose new PMP estimation approaches with consideration of the combined effect.

Based on STM, this study proposes two approaches [i.e., the amplification index (AI) approach and the independent system (IS) approach] for considering the combined effect of typhoon and southwesterly air flow in PMP estimation. For the rest part of this paper, it is divided into four sections. Section 2, Study Area and Data Set, describes the study area and data set used in the study. Section 3, Methodology, states the hypotheses and calculation procedures of the AI and IS approaches. Section 4, Results and Discussions, gives the PMP estimation results using the AI and IS approaches, compared with the PMP estimates from the traditional STM without considering the combined effect of typhoon and southwesterly air flow, and discusses the rationality of the proposed

approaches. Finally, the conclusions and future works section concludes this paper and presents our future work.

2. STUDY AREA AND DATA SET

The study area is the Tsengwen Reservoir catchment (Fig. 1). Tsengwen Reservoir is the biggest reservoir in southern Taiwan, with a storage capacity of 708 million m³. It is located upstream of Tsengwen Creek and has been operating since 1973 for multiple functions including irrigation, hydraulic power generation, water supply and flood prevention. The catchment area is around 480 km² with an average elevation of approximately 963 m. The mean annual rainfall in the catchment is about 3000 mm. Most of the rainfall is concentrated during the wet season (from May to October).

In order to determine the representative stations for PMP estimation using the STM, the hourly dew point data at Chiayi (1969 - 2010), Tainan (1951 - 2010), Kaohsiung (1951 - 2010), and Alishan (1951 - 2010) meteorological stations (Fig. 1) were collected. The southwesterly air flow precipitation (SFP) events were selected based on the rainfall and wind-direction angle records at Alishan meteorological station from May to August from 1979 - 2010. The SFP event selection standards are as follows: (1) the rainfall spell length is equal to or longer than 5 days to avoid selecting rainfall events caused by thunder storms; (2) the 1-day and 2-day rainfalls are greater than 200 and 300 mm, respectively; and (3) the southwest wind blows during the rainfall event. The 200 mm threshold for 1-day rainfall is defined by the Water Resources Agency of Taiwan as the warning threshold for probable flooding. The 300 mm threshold for 2-day rainfall is defined by the Soil & Water Conservation Bureau of Taiwan as the warning threshold for probable debris flow or landslide. Based on the former selection standards, 14 SFP events were selected as listed in Table 1. For each SFP event selected using the former standards, it was found that approximately 90% of the rainfall was concentrated in the first two days. Therefore, the persistence of a SFP event is defined as 2 days. The rainfalls for the first and second days for each SFP event are listed in Table 1.

Cheung et al. (2008) indicated that a typhoon induces warm and moist southwesterly air flow during the summer monsoon season, which usually occurs when the typhoon center is in northern Taiwan. Based on the typhoon route classification map provided by the Central Weather Bureau (CWB) of Taiwan (Fig. 3), historical typhoons with routes 1, 2, 3, and 6 are more likely to induce southwesterly air flow. Based on the four routes, 20 typhoon events, listed in Table 2, were selected for the study case.

Chien (2015) indicated that moisture transposition is a key factor that influences rainfall amount during the southwesterly air flow period which transports the moisture from the ocean southwest of Taiwan toward Taiwan. The moisture transposition is dominated mainly by two variables

(i.e., wind velocity and precipitable water). Therefore, to establish the regression equation for SFP estimation, three kinds of daily data from 1979 - 2010 were collected in this study. This data includes (1) observed rainfall, (2) 10-m wind velocity, and (3) precipitable water. Data from May to August were utilized because the southwest monsoon occurs during this period in Taiwan. Observed rainfalls were collected at Alishan meteorological station. The data from 10-m wind velocity (grid resolution: $0.32^\circ \times 0.32^\circ$; domain: $E110^\circ - E130^\circ, N15^\circ - N30^\circ$) and precipitable water (grid resolution: $0.5^\circ \times 0.5^\circ$; domain: $E110^\circ - E130^\circ, N15^\circ - N30^\circ$) were collected from the Climate Forecast System Re-analysis (CFSR) data provided by the National Centers for Environmental Prediction (NCEP) (Saha et al. 2010) were designed and executed as a global, high resolution, coupled atmosphere-ocean-land surface-sea ice system to provide the best estimate for the state of these coupled domains over the period 1979 to present. Details on NCEP CFSR data can be found in the literature of Saha et al. (2010). The NCEP CFSR data were used in many studies with good climate/oceanic system simulations (Wang et al. 2011; Xue et al. 2011; Bao and Zhang 2013; Chawla et al. 2013).

3. METHODOLOGY

In order to consider the combined effect of typhoon and

southwesterly air flow on PMP estimation, two approaches (i.e., AI and IS approaches) are proposed considering the orographic effect based on the STM. The orographic effect is an important factor that leads to heavy rainfall. The STM estimation procedure includes elevation and barrier adjustments. The elevation adjustment involves that if the storm elevation is not at mean sea level but occurs at some elevated place, a correction is to be applied for the storm elevation. Barrier adjustment is required when there is a barrier or mountain range in the path of moisture being fed into the storm area. The details involving these two adjustments for the orographic effect can be found in the literatures of WMO (2009), Rakhecha and Singh (2009), and Lagos-Zúñiga and Vargas M. (2014). The traditional PMP estimation using STM is as follows:

$$PMP_n = \frac{\omega_{max}}{\omega} \times P_n = MMF \times P_n \tag{1}$$

where PMP_n means the PMP estimation for n -hr duration, which envelops the PMP estimations of all selected typhoon events; ω indicates the precipitable water in a specific storm; ω_{max} denotes the maximum precipitable water in the study area; P_n is the n -hr rainfall depth calculated by the Depth-Area-Duration (DAD) curves for a specific storm; MMF represents the moisture maximization factor.

Table 1. Information on 14 SFP events including rainfalls at Alishan meteorological station, uv in CR, and prw in CR.

| SFP No. | Date | Year | Rainfall (mm day ⁻¹) | | | uv ^a (m s ⁻¹ day ⁻¹) | prw ^b (mm day ⁻¹) |
|---------|-------------|------|----------------------------------|---------------------|---------|---|---|
| | | | 1 st day | 2 nd day | Average | | |
| 1 | 5/28 - 5/29 | 1984 | 203.3 | 125.4 | 164.4 | 5.8 | 59.1 |
| 2 | 5/28 - 5/29 | 1985 | 282.6 | 78.4 | 180.5 | 8.3 | 62.1 |
| 3 | 5/22 - 5/23 | 1988 | 223.4 | 153.2 | 188.3 | 6.0 | 59.9 |
| 4 | 5/23 - 5/24 | 2010 | 305.0 | 3.5 | 154.3 | 7.2 | 54.1 |
| 5 | 6/2 - 6/3 | 1983 | 121.4 | 382.7 | 252.1 | 9.0 | 57.1 |
| 6 | 6/23 - 6/24 | 1991 | 296.5 | 387.0 | 341.8 | 9.4 | 63.0 |
| 7 | 6/7 - 6/8 | 1998 | 160.5 | 254.0 | 207.3 | 7.5 | 60.1 |
| 8 | 6/7 - 6/8 | 2003 | 287.5 | 50.0 | 168.8 | 7.1 | 63.2 |
| 9 | 6/9 - 6/10 | 2006 | 811.5 | 348.5 | 580.0 | 9.5 | 63.2 |
| 10 | 7/23 - 7/24 | 1981 | 493.7 | 28.5 | 261.1 | 9.4 | 62.3 |
| 11 | 7/27 - 7/28 | 2010 | 156.4 | 386.9 | 271.7 | 8.4 | 63.4 |
| 12 | 7/26 - 7/27 | 1989 | 240.5 | 189.0 | 214.8 | 8.5 | 62.2 |
| 13 | 8/13 - 8/14 | 1988 | 127.4 | 536.0 | 331.7 | 8.6 | 64.7 |
| 14 | 8/12 - 8/13 | 2007 | 155.0 | 227.0 | 191.0 | 7.8 | 66.5 |

Note: a: uv is the average 10-m wind velocity in CRuv, CRuv means the control region (CR) where has high correlation coefficient (CC) between the daily rainfall of Alishan meteorological station and 10-m wind velocity of NCEP CFSR on each grid node. b: prw is the average precipitable water in CRprw, CRprw means the control region (CR) where has high CC between the daily rainfall of Alishan meteorological station and precipitable water of NCEP CFSR on each grid node.

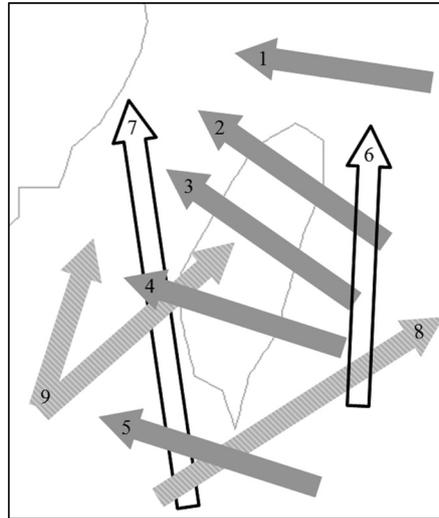


Fig. 3. Nine typhoon routes defined by Central Weather Bureau of Taiwan.

Table 2. Information on 20 typhoon events at Alishan meteorological station.

| Route | Typhoon | Year | Date | Total Rainfall (mm) | Daily Average Rainfall (mm day ⁻¹) |
|-------|-----------|------|-------------|---------------------|--|
| 1 | Nelson | 1985 | 8/23 - 8/24 | 751.3 | 375.7 |
| | Arer | 2004 | 8/24 - 8/25 | 747.5 | 373.8 |
| | Matsa | 2005 | 8/04 - 8/06 | 666.0 | 222.0 |
| 2 | Norris | 1980 | 8/27 - 8/28 | 595.7 | 297.9 |
| | Yancy | 1990 | 8/19 - 8/21 | 1187.0 | 395.7 |
| | Herb | 1996 | 7/31 - 8/01 | 986.5 | 493.3 |
| | Bilis | 2006 | 7/13 - 7/15 | 789.0 | 263.0 |
| | Kalmaegi | 2008 | 7/17 - 7/19 | 701.0 | 233.7 |
| 3 | Polly | 1992 | 8/30 - 8/31 | 729.3 | 364.7 |
| | Otto | 1998 | 8/04 - 8/05 | 414.5 | 207.3 |
| | Haitang | 2005 | 7/18 - 7/20 | 1226.0 | 408.7 |
| | Sepat | 2007 | 8/18 - 8/20 | 793.0 | 264.3 |
| | Fung-Wong | 2008 | 7/28 - 7/29 | 640.0 | 320.0 |
| | Morakot | 2009 | 8/08 - 8/10 | 2965.0 | 741.3 |
| 6 | Judy | 1979 | 8/24 - 8/26 | 741.8 | 247.3 |
| | June | 1981 | 6/20 - 6/21 | 306.3 | 153.2 |
| | Alex | 1987 | 7/27 - 7/28 | 697.8 | 348.9 |
| | Ofelia | 1990 | 6/23 - 6/24 | 474.5 | 237.3 |
| | Doug | 1994 | 8/08 - 8/10 | 1048.5 | 349.5 |
| | Mindulle | 2004 | 7/03 - 7/05 | 1725.0 | 575.0 |

More details about the STM can be found in the literature of WMO (2009).

The AI approach introduces an amplification index of southwesterly air flow precipitation (AISFP) as a multiplication factor into the STM. It is assumed that the SFP in a typhoon event has the potential to reach its maximum value. Therefore, this study defined the AISFP, which is

the ratio of probable maximum SFP to SFP, for amplifying the PMP not considering the combined effect of typhoon and southwesterly air flow. Details for the AISFP calculation procedure can be found in section 3.2. For estimating the PMP, which considers the combined effect of typhoon and southwesterly air flow using the AI approach (called PMPST hereafter), the AISFP can be added into Eq. (1).

After adding the AISFP into Eq. (1), the PMPTS estimation equation is as follows:

$$PMPTS_n = AISFP \times PMP_n = AISFP \times (MMF \times P_n) \quad (2)$$

where $PMPTS_n$ means the PMP estimation by considering the combined effect of typhoon and southwesterly air flow for n -hr duration, which envelops the PMPTS estimations for all selected typhoon events.

The IS approach is also proposed in this work. The assumption of IS approach is that the typhoon and southwesterly air flow are two independent systems. Based on this assumption the typhoon events used in the IS approach have no interaction with the southwesterly air flow. These events are called “pure” typhoon events hereafter. The PMP considering the combined effect of typhoon and southwesterly air flow using the IS approach (called PMPTS* hereafter) is estimated by summing the PMP for pure typhoon and probable maximum SFP as follows.

$$PMPTS_n^* = PMP_n^* + P_{HMS} \times \frac{t}{24}, \begin{cases} t = n, n \leq 48 \\ t = 48, n > 48 \end{cases} \quad (3)$$

where $PMPTS_n^*$ means the PMP estimation for n -hr duration which envelops the PMPTS* estimations for all selected typhoon events. PMP_n^* is the PMP estimation for n -hr duration on a pure typhoon event using the STM; P_{HMS} denotes the daily probable maximum SFP estimated by the regression equation described in section 3.2; $t/24$ is used to adjust the daily (24-hr) P_{HMS} for n -hr $PMPTS_n^*$ estimation and a maximum value for t is set to be 48 due to the 2-day (48-hr) persistence of a SFP event which is observed using the collected data set and described in the former section.

Since the proposed AI and IS approaches are based on the STM, the following section first describes the selection of representative persisting surface dew point and stations for PMP estimation in the STM. Both selection results are shown. The calculation procedure for the proposed AI and IS approaches are then introduced.

3.1 Selection of Representative Persisting Surface Dew Point and Station

For estimating PMP by the STM, MMF in Eq. (1) has to be determined. Based on the WMO’s suggestion (WMO 2009), the representative persisting surface dew point (e.g., representative persisting 12-hr surface dew point) and the representative station have to be determined before the MMF calculation.

Precipitable water is a critical term for determining the MMF in Eq. (1), which can be calculated based on the representative persisting surface dew point. Under the pseudoadiabatic (i.e., liquid water in the air parcel is assumed to be

removed as soon as the air parcel is condensed) assumption, the corresponding value between precipitable water and surface dew point is tabulated in Tables A.1.1 and A.1.2 of the WMO’s Manual (WMO 2009). The representative persisting 12- and 24-hr surface dew points are commonly used for PMP estimation by the STM (Wiesner 1970). The WMO (2009) suggested the representative persisting 24-hr surface dew point in some tropical regions in which the storm durations are longer. Since Taiwan is partly located in the tropical region and the storm duration is always longer than 72 hr, the representative persisting 24-hr surface dew point is used in this study.

The representative station plays an important role in providing the dew point data for the PMP estimation by the STM. The WMO (2009) remarked (1) the dew point records at the representative station appreciably shorter than approximately 50 years are unlikely to yield maximum values representative of the maximum atmospheric moisture, and (2) the advantage position of the representative station should be located between the rain area and moisture source. According to the above WMO’s suggestions, the Tainan meteorological station in Fig. 1 is selected for providing the dew point data in this work.

3.2 Calculation Procedures of AI and IS Approaches

Both the AI and IS approaches have three main steps for PMP estimation considering the combined effect of typhoon and southwesterly air flow. The first two steps (i.e., Step 1: Select control regions (CRs), and Step 2: Establish linear regression equations for SFP estimation and calculate the probable maximum SFP) are the same for both the AI and IS approaches. Step 3 for the AI method is to calculate the AISFP for each typhoon event and PMPTS estimates. Step 3 for the IS method is to calculate the PMPTS* estimates by summing up the PMP for a pure typhoon and the probable maximum SFP. The flow chart for the AI and IS approaches is shown in Fig. 4.

Step 1: Select CRs

The CR is defined as a region where the rainfall at Alishan meteorological station has a significant relationship with each of the atmospheric variables (10-m wind velocity and precipitable water data). The daily rainfalls of Alishan meteorological station, 10-m wind velocity and precipitable water data of NCEP CFSR are used for the CR selection. The data period and format are described in the section of Study Area and Data Sets. The correlation coefficient (CC) between the daily rainfall and each of the atmospheric variables on each grid node is calculated. The significant region with high CC is delineated as the CR. After the CR of wind velocity (CR_{uv}) and the CR of precipitable water (CR_{prw}) are decided, the average wind velocity in CR_{uv} and average precipitable water in CR_{prw} have to be calculated. The average wind velocity in CR_{uv} means the average value of wind

velocity for all grid nodes in CR_{uv} , which is abbreviated as “uv”; the average precipitable water in CR_{prw} means the average value of precipitable water for all grid nodes in CR_{prw} , which is abbreviated as “prw”.

Step 2: Establish regression equations for SFP estimation and calculate the probable maximum SFP

Linear regression equations for the relationship between the rainfall at Alishan meteorological station and the two meteorological variables (uv and prw) are established using the leave-one-out cross-validation (LOOCV) method. The F-test is used to check the statistical significance of each regression equation. Two criteria, mean absolute percentage error (MAPE), and root mean square error (RMSE) are used to decide the optimal linear regression equation.

$$MAPE = \frac{\sum_{i=1}^n \left| \frac{P_{reg,i} - P_{obs,i}}{P_{obs,i}} \right|}{n} \times 100\% \quad (4)$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (P_{reg,i} - P_{obs,i})^2}{n}} \quad (5)$$

where $P_{reg,i}$ is the estimated rainfall of the i^{th} SFP event using the regression equation (mm); $P_{obs,i}$ indicates the observed rainfall of the i^{th} SFP event (mm); n denotes the total number of SFP events.

The probable maximum SFP (P_{HMS}) is calculated by the

optimal regression equation, which can be obtained through substituting the $uv_{sw,max}$ and $prw_{sw,max}$ from the 14 SFP events to the optimal regression equation.

Step 3: Estimate PMPTS and PMPTS*, respectively, by AI and IS approaches

Calculating the AISFP for each typhoon event is calculated first in the AI approach. The definition of AISFP is:

$$AISFP_i = \frac{P_{HMS}}{P_{ST,i}} \quad (6)$$

where P_{HMS} is the probable maximum SFP, $P_{ST,i}$ denotes the SFP in the typhoon i , and $AISFP_i$ is the ratio of P_{HMS} to $P_{ST,i}$ for the typhoon i . $AISFP_i$ means the SFP in the typhoon i has a growth room to reach the probable maximum SFP. $P_{ST,i}$ is calculated by substituting the uv and prw in the typhoon i to the optimal regression equation under the following constraints:

$$\begin{cases} \text{if } uv \geq uv_{sw,max} & \text{then } uv = uv_{sw,max} & \text{else } uv = uv \\ \text{if } prw \geq prw_{sw,max} & \text{then } prw = prw_{sw,max} & \text{else } prw = prw \end{cases} \quad (7)$$

After the AISFP for each typhoon event is calculated, the PMPTS for each typhoon event can be calculated using Eq. (2).

Two terms in Eq. (3) (i.e., PMP^* and P_{HMS}) need to be calculated for PMPTS* estimation in the IS approach. The PMP^* for each pure typhoon event is calculated using the

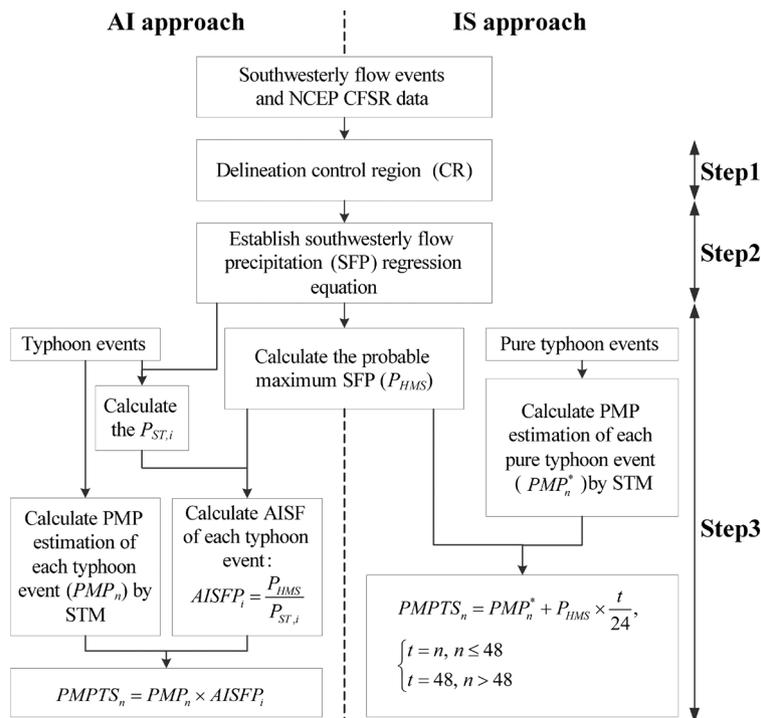


Fig. 4. Flow chart of AI and IS approaches.

STM. The P_{HMS} is calculated in Step 2. The PMPTS* estimation for each typhoon can then be estimated by substituting the PMP^* for a pure typhoon event and P_{HMS} into Eq. (3).

4. RESULTS AND DISCUSSIONS

This study proposes two approaches (i.e., the AI and IS approaches) to estimate PMPTS and PMPTS* in the study area, respectively. The results of AI and IS approaches are described in the following sections.

4.1 Delineation of CR

Delineation of CR is Step 1 for the AI and IS approaches. For delineating the CR, the CC between the daily rainfall at Alishan meteorological station and each of the two variables (i.e., wind velocity and precipitable water) for each grid are calculated. Figure 5a shows the CC between the daily rainfall at Alishan meteorological station and wind velocity for each grid. The CR of wind velocity is delineated by a black square where the CC for each grid is greater than 0.25 ($p < 0.01$). Figure 5b shows the CC between the daily rainfall at Alishan meteorological station and precipitable water of each grid. The CR of precipitable water is delineated by a black square where the CC for each grid is greater than 0.25 ($p < 0.01$). The delineation of the above two CRs is reasonable since the rainfall at Alishan meteorological station results from precipitable water brought from southwest by southwesterly wind during the southwest monsoon season.

After determining the two CRs (i.e., CR_{uv} and CR_{prw}), the mean wind velocity and precipitable water values in the CRs for the 14 southwesterly air flow events were calculated for establishing the SFP regression equation. Table 1 lists the daily precipitation (P), the mean wind velocity value (uv) in

the CR, and the mean precipitable water value (prw) in the CR, to establish the SFP regression equation.

4.2 Regression Equation for SFP Estimation

Step 2 for the AI and IS approaches is to establish the regression equation for SFP estimation. Since both of Spearman's CCs between P and uv and between P and prw are larger than 0.4 and their p -values (< 0.05) reach statistical significance, it is assumed that daily precipitation (P) has liner relationship with uv and prw . Four kinds of liner regression equations as Eqs. (8) - (11) were constructed and compared.

$$P = a \times uv + C \quad (8)$$

$$P = a \times prw + C \quad (9)$$

$$P = a \times uv + b \times prw + C \quad (10)$$

$$P = a \times uv \times prw + C \quad (11)$$

Using the LOOCV method, each SFP event was used in turn for validation and the other left events were used for calibration (i.e., construction of regression equation). F-test was performed for each regression equation. A significance level of $\alpha = 0.05$ is adopted in this work. Table 3 shows the LOOCV results. In the table, C1 represents the regression equation established (calibrated) by the 2nd, 4th, ..., 14th events and verified by the 1st event, C2 represents the regression equation established (calibrated) by the 1st, 3rd, 4th, ..., 14th events and verified by the 2nd event, and so forth. The table shows that the regression equation forms for

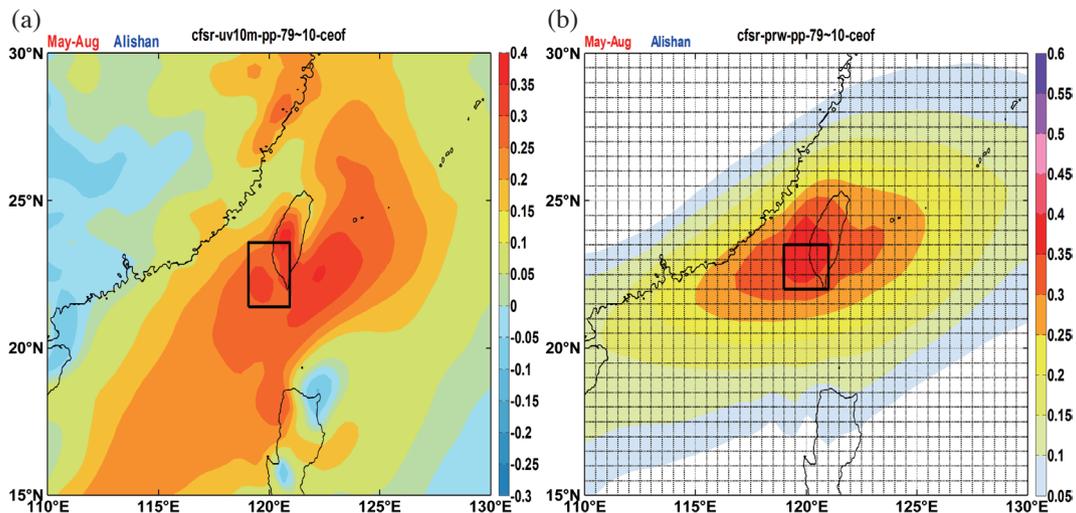


Fig. 5. Control regions (black square) for (a) 10-m wind velocity and (b) precipitable water. (Color online only)

Table 3. F-statistics value for each regression equation.

| | Eq. (8) | Eq. (9) | Eq. (10) | Eq. (11) |
|-----|---------|---------|----------|----------|
| C1 | 8.96* | 1.07 | 4.30* | 9.54* |
| C2 | 10.19* | 1.50 | 4.88* | 11.01* |
| C3 | 10.00* | 1.22 | 4.73* | 10.43* |
| C4 | 8.21* | 0.63 | 3.77 | 8.42* |
| C5 | 10.30* | 1.54 | 4.69* | 9.82* |
| C6 | 7.90* | 1.17 | 3.77 | 8.51* |
| C7 | 8.80* | 1.27 | 4.18* | 9.42* |
| C8 | 8.26* | 1.81 | 4.00* | 9.14* |
| C9 | 13.10* | 1.98 | 6.65* | 15.09* |
| C10 | 10.65* | 1.35 | 5.02* | 11.16* |
| C11 | 8.96* | 1.33 | 4.26* | 9.61* |
| C12 | 9.64* | 1.57 | 4.69* | 10.73* |
| C13 | 8.62* | 1.00 | 4.02* | 8.91* |
| C14 | 9.04* | 2.85 | 4.76* | 10.98* |

Note: * $p < 0.05$.

Eqs. (9) and (10) are not suitable because all regression equations in the form of Eq. (9) and two regression equations (i.e., C2 and C14) in the form of Eq. (10) do not pass the F-test ($p > 0.05$), respectively. The regression equations in the form of Eqs. (8) and (11) are suitable because all regression equations (i.e., C1, C2, ..., C14) pass the F-test ($p < 0.05$). For each regression equation form for Eqs. (8) and (11), the mean coefficients for a and C , respectively, for all regression equations (i.e., C1, C2, ..., C14) were calculated as the representative coefficients. By comparing the values of MAPE and RMSE for the above two regression equation forms, the MAPE of Eqs. (8) and (11) are 23 and 21%, respectively. The RMSE for Eqs. (8) and (11) are 80 and 78.8 mm, respectively. The form for Eq. (11) with smaller values of MAPE and RMSE performs better than Eq. (8). Therefore, the regression equation developed using the form of Eq. (11) is the optimal equation for SFP estimation.

4.3 PMPTS Estimation by AI Approach

4.3.1 AISFP Estimation

AISFP estimation for each typhoon event is Step 3 of the AI approach. The maximum values of uv and prw (i.e., uv_{max} and prw_{max} , respectively) in the CRs were obtained from the southwesterly air flow data set for P_{HMS} estimation via Eq. (11). The values of uv_{max} , prw_{max} , and P_{HMS} are 9.53 m s^{-1} , 66.54 mm , and $375.8 \text{ mm day}^{-1}$, respectively. The values of uv and prw in the CRs for each typhoon event were calculated for P_{ST} estimation via Eq. (11). After obtaining the P_{HMS} and the P_{ST} for each typhoon event, the AISFP for each typhoon event can be estimated using the ratio of

P_{HMS} to P_{ST} in Eq. (6). Table 4 lists the uv , prw , P_{ST} , AISFP values for each typhoon event and the AISFP average for each typhoon route. An AISFP value larger in the table than 1 represents that the SFP in the typhoon event has the potential to reach the maximum value (P_{HMS}). An AISFP value equal to 1 represents that the SFP value in the typhoon event has reached the maximum SFP. Moreover, the mean AISFPs for routes 1, 2, and 6 (i.e., 1.2, 1.2, and 1.26, respectively) are larger than the mean AISFP for route 3 (i.e., 1.09).

The area of CR is approximately $200 \text{ km} \times 150 \text{ km}$, where the uv_{max} and prw_{max} are 9.53 m s^{-1} and 66.54 , respectively. In Taiwan, the influence region of a typhoon is defined using a circled area in which the wind velocity is over 15 m s^{-1} . The radius of the circle area ranges from 100 - 350 km, which is counted from the typhoon events during the past 50 years. When a typhoon's center passes Taiwan, the influence region of the typhoon is prone to cover the CR. The greater the proportion of the typhoon that covers the CR to the whole CR area, the AISFP is more likely to be one. The proportions of routes 1, 2, 3, and 6 are roughly estimated. In accordance with the proportions of routes 3, 2, 6, and 1 in descending order, the number of typhoons on routes 3, 2, 6, and 1 with AISFP equal to 1 are 5, 3, 2, and 1 (Table 4), which means the AISFP estimation herein is reasonable.

4.3.2 PMPTS Estimation

Six typhoon events adopted for PMP estimation at Tsengwen Reservoir (WRA 2014) are used for PMPTS estimation in this work. After the AISFP is calculated, the PMPTS for each typhoon event can be calculated through Eq. (2). Table 5 shows the values of MMF and MMF multiplied by AISFP ($MMF \times AISFP$) for the 6 typhoon events. The average AISFP (i.e., 1.2) for typhoon route 1 is used for Typhoon Gloria in 1963 since there is no NCEP CFSR data before 1979. The values of $MMF \times AISFP$ for Typhoon Gloria, Herb, Torji, Mindulle, Arer, and Morakot are 1.98, 1.29, 2.80, 1.09, 1.34, and 1.45, respectively. The larger value of $MMF \times AISFP$ means the more extreme condition of the combined effect of typhoon and southwesterly air flow might occur. The PMPTS estimations using the AI approach for different durations (6, 12, 18, 24, 36, 48, and 60 hr) are listed in Table 6. For comparison, the traditional PMP estimations using STM (WRA 2014) are also listed in the table.

Table 6 shows that the PMPST estimates using AI approach are larger than the traditional PMP estimates. The PMPTS estimates using the AI approach are larger than the traditional PMP estimates by 23, 38, 38, 37, 31, 18, and 12% of the traditional PMP estimates for the durations of 6, 12, 18, 24, 36, 48, and 60 hr, respectively. The mean percentage increase of the PMPTS estimates from the traditional PMP estimates for different durations is around 28%.

Table 4. Results of AISFP estimation.

| Route | Typhoon | uv (m s ⁻¹ day ⁻¹) | prw (mm day ⁻¹) | P _{sr} (mm day ⁻¹) | AISFP | Average of AISFP |
|-------|-----------|---|-----------------------------|---|-------|------------------|
| 1 | Nelson | 10.5 | 62.0 | 375.8 | 1.00 | 1.20 |
| | Arer | 9.8 | 57.4 | 312.5 | 1.20 | |
| | Matsa | 9.1 | 56.1 | 265.6 | 1.40 | |
| 2 | Norris | 8.2 | 58.7 | 238.7 | 1.57 | 1.20 |
| | Yancy | 12.4 | 69.9 | 375.8 | 1.00 | |
| | Herb | 15.2 | 66.9 | 375.8 | 1.00 | |
| | Bilis | 13.2 | 67.4 | 375.8 | 1.00 | |
| | Kalmaegi | 8.1 | 62.3 | 256.3 | 1.47 | |
| 3 | Polly | 11.4 | 69.3 | 375.8 | 1.00 | 1.09 |
| | Otto | 8.2 | 59.4 | 242.3 | 1.55 | |
| | Haitang | 14.4 | 66.0 | 375.8 | 1.00 | |
| | Sepat | 11.4 | 65.2 | 375.8 | 1.00 | |
| | Fung-Wong | 14.1 | 66.3 | 375.8 | 1.00 | |
| | Morakot | 15.6 | 71.9 | 375.8 | 1.00 | |
| 6 | Judy | 8.4 | 60.2 | 259.7 | 1.45 | 1.26 |
| | June | 8.1 | 60.6 | 246.7 | 1.52 | |
| | Alex | 8.3 | 61.5 | 260.9 | 1.44 | |
| | Ofelia | 9.1 | 62.2 | 315.3 | 1.19 | |
| | Doug | 10.4 | 61.7 | 375.8 | 1.00 | |
| | Mindulle | 12.2 | 66.5 | 375.8 | 1.00 | |

Table 5. Values for MMF, AISFP, and MMF × AISFP for each typhoon event.

| Typhoon (Year) | Gloria (1963) | Herb (1996) | Torji (2001) | Mindulle (2004) | Arer (2004) | Morakot (2009) |
|----------------|---------------|-------------|--------------|-----------------|-------------|----------------|
| MMF | 1.65 | 1.29 | 1.75 | 1.09 | 1.11 | 1.45 |
| AISFP | 1.20 | 1.00 | 1.60 | 1.00 | 1.21 | 1.00 |
| MMF × AISFP | 1.98 | 1.29 | 2.80 | 1.09 | 1.34 | 1.45 |

Table 6. PMP estimates (mm) by AI and IS approaches, and traditional STM for different durations, and the maximum observed rainfalls (mm) of two typhoon events.

| | | Duration (hr) | | | | | | |
|---------------------------|-----------------|------------------|-------------------|-------------------|-------------------|-------------------|-------------------|------|
| | | 6 | 12 | 18 | 24 | 36 | 48 | 60 |
| PMPTS | AI approach | 1014 | 1560 | 1830 | 2218 | 2689 | 2816 | 2973 |
| PMPTS* | IS approach | 728 | 1312 | 1807 | 2224 | 2804 | 3098 | 3227 |
| PMP | Traditional STM | 596 | 977 | 1327 | 1621 | 2050 | 2380 | 2650 |
| Maximum observed rainfall | Herb (1996) | 616 ⁺ | 1157 ⁺ | 1537 ⁺ | 1748 ⁺ | 1978 | 1986 | 1986 |
| | Morakot (2009) | 549 | 935 | 1273 | 1624 ⁺ | 2048 [#] | 2361 [#] | 2560 |

Note: +: indicates that the maximum observed rainfall exceeds the PMP estimate by the traditional STM but does not exceed the PMP estimates by AI and IS approaches. #: denotes that the maximum observed rainfall approaches to the PMP estimation by the traditional STM.

4.4 PMPTS* Estimation by IS Approach

PMPTS* estimation for each typhoon event is Step 3 of the IS approach. The assumption of IS approach is that the two weather systems (i.e., pure typhoon and southwesterly air flow) are independent. Under this assumption, two pure typhoon events (i.e., Typhoon Gloria and Torji) in Table 5 are used for PMPTS* estimation using the IS approach. The procedure of IS approach to calculate the PMPTS* estimation is by summing up the PMP for a pure typhoon and P_{HMS} in Eq. (3). P_{HMS} estimation is calculated using the uv_{max} and prw_{max} values in the CRs via Eq. (11). The PMPTS* estimations by the IS approach for different durations (6, 12, 18, 24, 36, 48, and 60 hr) are listed in Table 6.

Table 6 shows that the PMPTS* estimates using the IS approach are larger than the traditional PMP estimates. The PMPTS* estimates using the IS approach are larger than the traditional PMP estimates by 22, 34, 36, 37, 37, 30, and 22% of the traditional PMP estimates for the durations of 6, 12, 18, 24, 36, 48, and 60 hr, respectively. The mean percentage increase for the PMPTS* estimates from the traditional PMP estimates for different durations is around 31%.

Finally, Table 6 also shows the maximum observed rainfalls caused by Typhoon Herb (1996) and Typhoon Morakot (2009), which have the combined effect with southwesterly air flow. The maximum observed 6-, 12-, 18-, and 24-hr rainfalls of Typhoon Herb exceed the PMP estimates by the traditional STM but do not exceed the estimates of PMPTS and PMPTS*. The maximum observed 24-hr rainfall of Typhoon Morakot exceeds the PMP estimate using the traditional STM but does not exceed the PMPTS and PMPTS*. Moreover, the maximum observed 36- and 48-hr rainfalls of Typhoon Morakot approach the PMP estimates using the traditional STM. Since the maximum observed rainfalls have exceeded the PMP estimates by the traditional STM but do not exceed the PMPTS and PMPTS* estimates, that gives the proposed approaches of this work extra confidence for providing reasonable results.

5. CONCLUSIONS AND FUTURE WORK

The important dynamic mechanism that caused Typhoon Morakot to bring heavy rainfall is the combined effect of typhoon and southwesterly air flow. This effect caused extreme damage in Taiwan. Therefore, the engineering community should reassess conventional PMP approaches and explore better ways to ensure more robust management of large infrastructure under the combined effect scenario, especially in Taiwan. This work proposes two tangible approaches (i.e., AI and IS approaches) based on the conventional PMP method (i.e., STM) to estimate the PMPTS which considers the combined effect of typhoon and southwesterly air flow. The AI approach assumes that the SFP in a typhoon event could reach its maximum value. The IS

approach assumes that a typhoon and southwesterly air flow are independent weather systems. Based on these assumptions, the calculation procedures for the two approaches were constructed for a case study in the Tsengwen Reservoir catchment located in an area affected by the southwesterly air flow. For the AI and IS approaches, the mean percentage increases of PMPTS estimates and PMPTS* estimates from the traditional PMP estimates for different durations are around 28 and 31%, respectively.

Although the two approaches proposed herein do not consider the dynamic mechanism of combined weather effect, we provide a pioneering way for PMPTS estimation based on a conventional PMP method. The assumptions of the two proposed approaches seem to simplify the mechanism of combined weather effect. Nevertheless, their results for PMPTS estimation are more conservative for infrastructure safety and the calculation processes are more easily understood for practical applications, which is very important to engineering community. In order to realize and solidify this work, future work may adopt the numerical weather model (e.g., the Weather Research & Forecasting Model) for PMPTS estimation compared with PMPTS estimated by the AI and IS approaches. The nonlinear simulation methods (e.g., artificial neural network and support vector machine) may be used to establish the regression equation for SFP estimation improvement. For more comprehensive application the integrated method for PMP estimation covering all foreseeable storm scenarios can be developed in the future. Moreover, the climate change impact on PMP estimation is also an important issue (Kunkel et al. 2013; Rousseau et al. 2014; Stratz and Hossain 2014) and may be investigated in future work.

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